

To: Nanyang Commercial Bank, Limited (“the Bank”)

Customer/Accounts/Services Information Amendment Form (Corporate/Organizations)

For bank use only

Customer No. :

Bank/Branch No.: 043 /

Note: As part of the amendment process, you are required to complete this form and to provide documentation (including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities) (if applicable). The information is required from you for the Bank's compliance with its customer due diligence policy, local laws and regulations and/or international standards. It forms an important part of an international effort to combat money laundering, terrorist financing and fraudulent activity. This application form is for the purpose of opening an account and/or applying for services by new or existing customers. For existing customers, it may not be necessary for you to provide certain background information which you have furnished to the Bank previously, provided that such information remains unchanged. If any such information has changed since you have last completed the account opening/amendment documentation, then for the purpose of the Bank to comply with its customer due diligence policy, you must promptly provide the Bank with the updated information and in any event, not later than 30 days after such change. Existing information furnished to the Bank is deemed to be valid and unchanged until the Bank is otherwise notified. Notwithstanding that, the Bank has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update your existing information in the Bank and may require your confirmation if necessary. If any such information required is not provided, this application may not be processed and neither the bank would have provided any banking service(s) to you.

Name of Customer ("Customer")	
Registration / Incorporation Document No.	

Part I: Amendment to Particulars of Customer

Unless otherwise stated, the following changes apply to all accounts/services of the Customer (except Corporate Credit Card).

To change information related to Corporate credit card, please fill in relevant forms.

1. Corporate/Organizations Customer Address	
Registered Address
Business Operation Address	<input type="checkbox"/> Same as Registered Address <input type="checkbox"/> Same as Correspondence Address <input type="checkbox"/> As Follows:
Correspondence Address	<input type="checkbox"/> Same as Registered Address <input type="checkbox"/> Same as Business Operation Address <input type="checkbox"/> As Follows:
Correspondence address applicable to	<input type="checkbox"/> New accounts/services applied in this application and all existing accounts/services (if any) <input type="checkbox"/> New accounts/services applied in this application

2. Corporate/Organizations Customer Contact Information

Contact Telephone/ Information	Contact Person:							
	Office Telephone No. (1)	Country Code	Area Code	Phone No	Office Telephone No. (2)	Country Code	Area Code	Phone No
	Mobile Phone No.	Country Code	Area Code	Phone No	Fax No.	Country Code	Area Code	Fax No
Email address								

Note:

- To ensure that the Bank can effectively contact customers, Customer is required to maintain at least one valid contact number in the Bank record. If there is only one valid contact number when making changes, the Bank will not remove the existing contact number but will add the new contact number.
- If Customer applies for or accesses to Corporate Internet Banking/Mobile Banking Services, mobile phone number and email address are required. The mobile phone number and email address shall be used as the key channels for communication between the Bank and the Customer, which included receiving the Bank's SMS messages and email notifications in connection with the results of transactions conducted through Corporate Internet Banking (Investment Function) (if notification functions are available for the investment transactions).
- Regardless of whether the Customer has applied for or accessed to Corporate Internet Banking/Mobile Banking Services or not, the Bank may only send relevant notice(s) and communication(s) exclusively by way of electronic means to the mobile phone number and email address provided above. If the Customer objects to this arrangement, please do not provide the relevant contact details to the Bank.

3. Change Corporate /Organizations Customer Name

Note: Please provide supporting documents (Hong Kong Business Registration Certificate, Hong Kong Certificate of Incorporation and other Certificates issued by the Regulatory entities of other registration place and incorporation place.

Customer Name (after amendment)	English	
	Chinese	

4. Information of Corporate /Organizations Customer's Business

Nature of Business/Industry	<input type="checkbox"/> Financial Services <input type="checkbox"/> Insurance Services <input type="checkbox"/> Transport <input type="checkbox"/> Real Estate <input type="checkbox"/> Wholesale <input type="checkbox"/> Retail <input type="checkbox"/> Imports & Exports <input type="checkbox"/> Construction <input type="checkbox"/> Catering <input type="checkbox"/> Tourism <input type="checkbox"/> Information Technology <input type="checkbox"/> Communication <input type="checkbox"/> Manufacturing <input type="checkbox"/> Others (please specify):			
	Nature of services/products offered			
Place of Incorporation	<input type="checkbox"/> Hong Kong, China <input type="checkbox"/> Mainland China (Province : City : County :) <input type="checkbox"/> Others (please specify):			
Date of Incorporation				
Customer's Location	<input type="checkbox"/> Hong Kong, China <input type="checkbox"/> Mainland China (Province : City : County :) <input type="checkbox"/> Others (please specify):			
Total Annual Business Turnover (in HKD equivalent)	<input type="checkbox"/> 0-2,500,000 <input type="checkbox"/> 2,500,001-5,000,000 <input type="checkbox"/> 5,000,001-10,000,000 <input type="checkbox"/> 10,000,001-25,000,000 <input type="checkbox"/> 25,000,001-50,000,000 <input type="checkbox"/> 50,000,001-100,000,000 <input type="checkbox"/> 100,000,001-500,000,000 <input type="checkbox"/> 500,000,001 or above (please specify) :			

4. Information of Corporate/Organizations Customer's Business (Continued)

Major Location of Counterparties	First Major Location :	(Required)	Fourth Major Location :	(Optional)
	Second Major Location :	(Optional)	Fifth Major Location :	(Optional)
	Third Major Location :	(Optional)	Sixth Major Location :	(Optional)
Source of Funds	<input type="checkbox"/> Capital Injection <input type="checkbox"/> Return on Investment <input type="checkbox"/> Operating Proceeds <input type="checkbox"/> Trust Property <input type="checkbox"/> Transfer from Third Party(ies) <input type="checkbox"/> Others (please specify):			
Country of Source of Funds	<input type="checkbox"/> Hong Kong <input type="checkbox"/> Others (please specify):			
Expected Turnover and Number of Transactions Per Year with the Bank	Turnover (total receipts and payments in HKD equivalent)	<input type="checkbox"/> 0-2,500,000 <input type="checkbox"/> 2,500,001-5,000,000 <input type="checkbox"/> 5,000,001-10,000,000 <input type="checkbox"/> 10,000,001-35,000,000 <input type="checkbox"/> 35,000,001-100,000,000 <input type="checkbox"/> 100,000,001-600,000,000 <input type="checkbox"/> 600,000,001 or above (please specify) :		
	Number of Transaction	<input type="checkbox"/> 0-350 <input type="checkbox"/> 351-600 <input type="checkbox"/> 601-1,800 <input type="checkbox"/> 1,801-3,500 <input type="checkbox"/> 3,501 or above (please specify) :		
Overall Transaction Pattern (Multiple selections)	<input type="checkbox"/> Cash <input type="checkbox"/> Cheque / Bank Cheque <input type="checkbox"/> Transfer <input type="checkbox"/> Remittance (T/T / Bank Draft) <input type="checkbox"/> Securities Investment <input type="checkbox"/> Fund Investment <input type="checkbox"/> Trade Finance <input type="checkbox"/> Loan Financing <input type="checkbox"/> Foreign Exchange <input type="checkbox"/> Treasury <input type="checkbox"/> Others (Please Specify) :			
Main Channel(s) of Transaction (Multiple selections)	<input type="checkbox"/> Counter Transaction <input type="checkbox"/> Internet/Mobile Banking <input type="checkbox"/> Self Service Banking <input type="checkbox"/> Others (Please Specify) :			
Reason for Opening Account in Hong Kong	<input type="checkbox"/> Investment in HK <input type="checkbox"/> Others (Please Specify):			
Other Information	Ultimate Owner / Controller's Location	<input type="checkbox"/> Hong Kong, China <input type="checkbox"/> Mainland China (Province : City : County :) <input type="checkbox"/> Others (please specify):		

5. Other Amendments to Particulars of Corporate/Organizations Customer

(Please specify the item(s) for amendment):

.....

.....

.....

6. Particulars of Corporate/Organizations Customer's Related Parties

Identity (Multiple selections)	Particulars				
<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Holding Company <input type="checkbox"/> Shareholder <input type="checkbox"/> Ultimate Holding Company <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Ultimate Controller (S81) <input type="checkbox"/> Non Executive Director <input type="checkbox"/> Executive	Name	(English)		(Chinese)	
	Former/Other Name	(English)		(Chinese)	
	Identification Document Type	<input type="checkbox"/> Hong Kong Resident ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Macau Resident ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Chinese Resident ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others (please specify): _____			
	Identification Document No.		Nationality ¹ / Place of Incorporation	<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong <input type="checkbox"/> Chinese / China <input type="checkbox"/> Others (please specify): _____	
	Identification Document Issue Date		Identification Document Expiry Date		
	Date of Birth				
	Mobile Phone				
	Residential /Registered Address				
	<input type="checkbox"/> Holding Company <input type="checkbox"/> Shareholder <input type="checkbox"/> Ultimate Holding Company <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Ultimate Controller (S81) <input type="checkbox"/> Non Executive Director <input type="checkbox"/> Executive	Name	(English)		(Chinese)
Former/Other Name		(English)		(Chinese)	
Identification Document Type		<input type="checkbox"/> Hong Kong Resident ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Macau Resident ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Chinese Resident ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others (please specify): _____			
Identification Document No.			Nationality/ Place of Incorporation	<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong <input type="checkbox"/> Chinese / China <input type="checkbox"/> Others (please specify): _____	
Identification Document Issue Date			Identification Document Expiry Date		
Date of Birth					
Mobile Phone					
Residential /Registered Address					

¹ For non-permanent Hong Kong residents, additional valid identification documents are required for nationality verification purpose.
Wholly owned subsidiary of China Cinda

6. Particulars of Corporate/Organizations Customer's Related Parties (Continued)

Identity (Multiple selections)	Particulars				
<input type="checkbox"/> Holding Company <input type="checkbox"/> Shareholder <input type="checkbox"/> Ultimate Holding Company <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Ultimate Controller (S81) <input type="checkbox"/> Non Executive Director <input type="checkbox"/> Executive	Name	(English)		(Chinese)	
	Former/Other Name	(English)		(Chinese)	
	Identification Document Type	<input type="checkbox"/> Hong Kong Resident ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Macau Resident ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Chinese Resident ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others (please specify):			
	Identification Document No.		Nationality/ Place of Incorporation	<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong <input type="checkbox"/> Chinese / China <input type="checkbox"/> Others (please specify):	
	Identification Document Issue Date		Identification Document Expiry Date		
	Date of Birth				
	Mobile Phone				
	Residential /Registered Address				
	<input type="checkbox"/> Holding Company <input type="checkbox"/> Shareholder <input type="checkbox"/> Ultimate Holding Company <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Ultimate Controller (S81) <input type="checkbox"/> Non Executive Director <input type="checkbox"/> Executive	Name	(English)		(Chinese)
Former/Other Name		(English)		(Chinese)	
Identification Document Type		<input type="checkbox"/> Hong Kong Resident ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Macau Resident ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Chinese Resident ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others (please specify):			
Identification Document No.			Nationality/ Place of Incorporation	<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong <input type="checkbox"/> Chinese / China <input type="checkbox"/> Others (please specify):	
Identification Document Issue Date			Identification Document Expiry Date		
Date of Birth					
Mobile Phone					
Residential /Registered Address					

Note 1: The ATM Card-Business will be mailed to the Correspondence Address registered by the cardholder with the bank. To amend Correspondence Address, please fill out the "Contact Information Amendment Form(Personal Customer)" at the same time.

2. Email Notification /Short Messaging Service Setting

<input type="checkbox"/> Add <input type="checkbox"/> Amend <input type="checkbox"/> Cancel	Service Type	Email Notification ^{note 3}		Short Messaging Service	
		Yes	No	Yes	No
	Securities Service			<input type="checkbox"/>	<input type="checkbox"/>
	Inward Remittance Transaction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Others (Please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Language	<input type="checkbox"/> Email Notification : <input type="checkbox"/> Chinese <input type="checkbox"/> English <input type="checkbox"/> Short Messaging Service : <input type="checkbox"/> Chinese <input type="checkbox"/> English				

Note 2: The Bank will send email notifications to the email address that the Customer provided to the Bank before, or to the email address listed in the "Customer Contact Information" section of Part I of this form.

3. Consolidated Statements

<input type="checkbox"/> Add all the existing accounts and services into the consolidated statement. <input type="checkbox"/> Other instruction:									
Add	Amend	Cancel	Account No.	Statement No.	Add	Amend	Cancel	Account No.	Statement No.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Statement Dispatch			<input type="checkbox"/> Electronic Statement (Only applicable to Corporate Internet Banking) <input type="checkbox"/> Mail to the correspondence address						
Language of Postal Address			<input type="checkbox"/> Traditional Chinese <input type="checkbox"/> Simplified Chinese <input type="checkbox"/> English						
Statement Language			<input type="checkbox"/> Traditional Chinese <input type="checkbox"/> Simplified Chinese <input type="checkbox"/> English						

4. Settlement / Relevant Accounts

Note: The settlement accounts/relevant accounts of the following products/services are changed as follows and the relevant fees and charges for the products/services shall be debited from such accounts.

	Account No.	Settlement Account/ Relevant Account
Securities		
Structured Investments and Currency Linked Investments Account		
Safe Deposit Box		

5. Other Amendments to Accounts/Services Information

Account/Service No (if applicable):
(Please specify the item(s) for amendment):
.....

6. Close/Cancel Accounts/Services

Apply to close/cancel the following accounts/services:

- ☐ Business Savings Account:
- ☐ Business Multi-Currency Savings Account:
- ☐ Business Current Account:
- ☐ Business Time Deposit Account:
- ☐ Securities ☐ Securities (Collateral) Account ☐ Structured Investments and Currency Linked Investments Account
- ☐ ATM Card – Business ☐ Safe Deposit Box ☐ Others account/service (please specify):
- ☐ Payment Instructions:

7. Confirmation of Receipt

The Customer confirms receipt of :

- ☐ The Cardholder has set the password of the ATM Card - Business.
- ☐ Others (please specify):

Part III

Mandate and Customer Declaration

A. Mandate

(Applicable to limited companies and other organizations (except New Territories Tongs)) I/We hereby certify that resolutions in the following terms and as entered into the minute book of the Customer were duly passed at a meeting of the Customer's board of directors or governing body (as the case may be) in accordance with its articles of association or its constitutional documents (as the case may be) on day of and continue in full force and effect without amendment:

1. The Customer applies to the Bank to make the amendments as set out in the Customer/Accounts/Services Information Amendment Form (Corporate/Organizations) and in the Supplementary Information Continuation Sheets (Corporate/Organizations) (if any), which forms part of this amendment form (collectively, the "Forms").
2. Any director(s) / member(s) of governing body/general authorized signatory(ies)/the secretary/the cardholder completing the Forms be authorised to complete and sign the Forms on behalf of the Customer.
3. This mandate be communicated to the Bank and will remain in effect until an amending document has been sent to and acted on by the Bank.
4. A copy of any resolution certified by a director/ Executive of the Customer may be accepted and acted on by the Bank.
5. The Bank needs not inquire into the purpose for which any of the above powers is exercised.
6. The Customer understands that the above instructions will not take effect until they have been duly processed by the Bank.

B. Customer Declaration

1. The Customer confirms that all information set out in this form provided by the Customer to the Bank is true, correct and complete, and it authorises the Bank to verify such information from any source the Bank may consider appropriate. The Customer further agrees to notify the Bank promptly in writing upon occurrence of any changes to that information and to provide certified copies of any replacement or supporting documents (if applicable).
2. The Customer has received, read and understood the Bank's Conditions for Services, the risk disclosure statements and the relevant terms, conditions, rules, user's manual or reference, brochure, Principal Brochure and provisions relating to the accounts, products and services applied for in this form, and agree to be bound by them (as amended by the Bank from time to time).
3.
 - a. (Not applicable to incorporated bodies) The Customer confirms that it has received, read and understood the Bank's Data Policy Notice (the "Notice") and agrees to be bound by them (as amended by the Bank from time to time) and that all personal data and information provided by the Customer to the Bank (a) have been collected by lawful means; and (b) are accurate in all material respects. The Customer is aware that its personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in the Notice made available by the Bank to the Customer from time to time and that the Customer is aware that it may have legal rights of access to and correction of its information held by the Bank.
 - b. The Customer declares that it is duly authorized by Customer's Related Parties (the "Individuals", each an "Individual"), to confirm that each Individual has received, read and understood the Bank's Data Policy Notice (the "Notice") and agrees to be bound by them (as amended by the Bank from time to time) and that all personal data and information in respect of each Individual provided by the Customer on behalf of each Individual to the Bank (a) have been collected by lawful means; and (b) are accurate in all material respects so far as the Customer is aware. The Customer agrees to ensure that, in relation to all personal data collected by and provided to the Bank by the Customer, all necessary consents required from the Individuals have been obtained and that the Individuals are aware that their personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in the Notice made available by the Bank to each Individual through the Customer from time to time and that those Individuals are aware that they may have legal rights of access to and correction of information held about them by the Bank.
4. (Not applicable to incorporated bodies) The Customer **does not wish** the Bank to use the Customer's personal data in direct marketing via the following channel(s) (please use "✓" to select the channel(s)):-
 - ☐ Electronic Channels
 - ☐ Mail
 - ☐ Personal Call

If the Customer returns this Form without ticking any of the above boxes, it means that the Customer does not wish to opt-out from any form of the Bank's direct marketing.

- ☐ To improve and provide more comprehensive services to our customers, the Bank may provide the Customer's personal data to other members of the Group^{*} and other persons[^] for their use in direct marketing of financial, insurance, credit card, securities, commodities, investment, banking and related services and products and facilities and so forth. Please tick "✓" this box if the Customer **does not wish** the Bank to provide the Customer's personal data to the above persons for the above purposes.

^{*} The "Group" means the Bank and its holding companies, branches, subsidiaries, representative offices and affiliates, wherever situated, together with China Cinda (HK) Holdings Company Limited and China Cinda Asset Management Co., Ltd.. Affiliates include the Bank's holding companies and China Cinda (HK) Holdings Company Limited and their respective branches, subsidiaries, representative offices and affiliates that are located in Hong Kong.

The above represents the Customer's present choice regarding whether or not to receive direct marketing materials, and the Bank's intended provision of the Customer's personal data to other members of the Group^{*} and other persons[^] for their use in direct marketing. This replaces any choice communicated by the Customer to the Bank prior to this application. Please noted that the Customer's above choice applies to the direct marketing of the classes of products, services and/or subjects as set out in the Bank's Data Policy Notice. [^]Please also refer to the said Notice on the kinds of personal data which may be used in direct marketing and the classes of persons to which the Customer's personal data may be provided for them to use in direct marketing.

5. ☐ The Customer confirms that except for the information provided above, the existing Customer information furnished to the Bank is up-to-date and relevant.
6. The Customer understands that the above instructions will not take effect until they have been duly processed by the Bank

Mandate and Customer Declaration (Continued)
Signature

Signed for and on behalf of the Customer/by the Cardholder completing this form on

Position/Title:

Name:

Position/Title:

Name:

Position/Title:

Name:

Position/Title:

Name:

(For a Customer which is a sole proprietorship/ partnership/ New Territories Tong)
Mandate and Declaration

Signed by the sole proprietor / all partners / all registered managers of the New Territories Tong on

Sole Proprietor / Partner / General Partner /
Registered Manager *

Name:

Partner / General Partner /
Registered Manager *

Name:

Partner / General Partner /
Registered Manager *

Name:

Partner / General Partner /
Registered Manager *

Name:

Partner/General Partner / Registered Manager *

Name:

Partner / General Partner /
Registered Manager *

Name:

Partner / General Partner /
Registered Manager *

Name:

Partner / General Partner /
Registered Manager *

Name:

(For a Customer which is a limited company /other organization (except a New Territories Tong))
Declaration

Signed for and on behalf of the Customer on

Director/Executive/General Authorised
Signatories / Cardholder *

Name:

Director/Executive/General Authorised
Signatories / Cardholder*

Name:

Mandate

Signed and certified as a true and correct record on

Chairperson of the Meeting (Must be a director)/
Director/Executive *

Name:

Director/Executive/Secretary*

Name:

ID Card/Passport No.(Secretary):

(*delete as appropriate)

For Bank Use Only (*delete as appropriate)

Signature & staff no. of Witness/Maker/SV*.: _____	Controlling Branch: _____	Customer Manager No.: _____	Phone No: _____
Signature & staff no. of Witness/Maker/SV*.: _____	Signature of Checker and Staff No.: _____		Handled By: _____
Signature & staff no. of Witness/Maker/SV*.: _____	Signature of Checker and Staff No.: _____		Remark: _____